

Adenovirus Vector-Mediated Gene Transfer into Stem Cells

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Abstract: Stem cells, including embryonic stem (ES) cells, mesenchymal stem cells (MSCs), and hematopoietic stem cells (HSCs), are defined by their capacity for self-renewal and multilineage differentiation. Efficient gene transfer into stem cells is essential for the basic research in developmental biology and for therapeutic applications in gene-modified regenerative medicine. Adenovirus (Ad) vectors, based on Ad type 5, can efficiently and transiently introduce the exogenous gene into many cell types via the primary receptor, coxsackievirus, and adenovirus receptor (CAR). However, some kinds of stem cells, such as MSCs and HSCs, cannot be efficiently transduced with conventional Ad vectors based on Ad serotype 5 (Ad5), because of the lack of CAR expression. To overcome this problem, fiber-modified Ad vectors and an Ad vector based on another serotype of Ad have been developed. Here, we review the advances in the development of Ad vectors suitable for stem cells and discuss their application in basic biology and clinical medicine.

Keywords: Adenovirus; stem cell; gene therapy; regenerative medicine; review

Introduction

Adenovirus (Ad) is a nonenveloped virus containing an icosahedral protein capsid with a diameter of approximately 80 nm. At least 51 serotypes of human Ad have been identified and classified into six different subgroups (A–F), many of which are associated with respiratory, gastrointestinal, or ocular diseases. Of them, Ad serotype 5 (Ad5) and Ad serotype 2, both belonging to subgroup C, have been the most extensively studied for use as vectors in gene therapy applications. Ad capsids consist of three major protein components: the hexon, the penton base, and the fiber. Hexon proteins comprise each geometrical face of the

capsid, while penton bases associate with fiber proteins to form penton capsomer complexes at each of the 12 vertices (Figure 1A). The two components of the penton capsomer, the fiber and penton base, interact with distinct cell surface receptors during the entry of Ad into susceptible cells. Fiber proteins consist of three distinct domains: the tail, the shaft, and the knob. Each domain has distinct functions in host cell infection. The amino-terminal tail anchors the fiber to the Ad capsid through association with the penton base.¹ The shaft extends away from the virion surface and, in Ad5, is composed of 22 pseudorepeats of 15 amino acids in a triple- β -spiral conformation.² By extending the knob away from the virion, the shaft facilitates its interaction with the host

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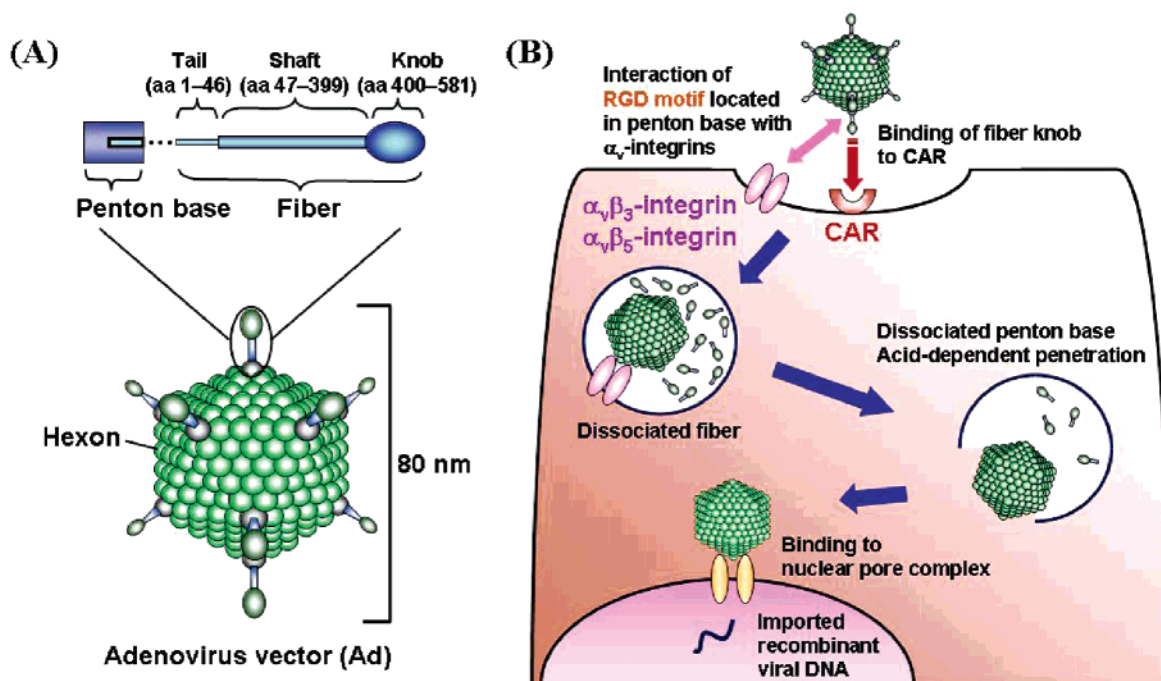


Figure 1. Structure and gene transduction pathway of the Ad vector. (A) The double-stranded virus genome is packaged within an icosahedral protein capsid. Hexon proteins comprise each geometrical face of the capsid, while penton bases associate with fiber proteins to form penton capsomer complexes at each of the 12 vertices. The fiber is composed of the tail, shaft, and knob domain. (B) The Ad vector binds to CAR following internalization in the cells and releases the viral DNA into the nuclei.

receptor.¹ The trimeric subunits of the carboxyl C-terminal knob domain are responsible for binding to the host's primary cellular receptor.^{3,4}

Human Ad5 contains a linear, approximately 36 kb, double-stranded DNA genome encoding more than 70 gene products. The viral genome contains five early transcription units (E1A, E1B, E2, E3, and E4), two early delayed (intermediate) transcription units (pIX and IVa2), and five late units (L1–L5), which mostly encode structural proteins for the capsid and internal core. Inverted terminal repeats (ITRs) at the end of the viral genome function as replication origins. The E1A gene is the first transcription unit to be activated shortly after infection and is essential to the activation of other promoters and the replication of the viral genome. In the first-generation Ad vectors, the E1 (E1A and E1B) gene is deleted and the virus propagated in E1-transcomplementing cell lines, such as 293,⁵ 911,⁶ or PER.C6 cells.⁷ The E3 region-encoded proteins modulate the host defense but are not required for viral replication in vitro; thus, the E3 region is often deleted to enlarge the packageable

size limit for foreign genes. Since up to 3.2 and 3.1 kb of the E1 and E3 regions, respectively, can be deleted⁸ and approximately 105% of the wild-type genome can be packaged into the virus without affecting the viral growth rate and titer,⁹ E1/E3-deleted Ad vectors allow the packaging of approximately 8.1–8.2 kb of foreign genes.⁸

The coxsackievirus and adenovirus receptor (CAR), which is a broadly distributed type I membrane protein, has been identified as the primary receptor for Ad of subgroups A and C–F.^{10–12} The entry of Ad5 into cells is initiated by the

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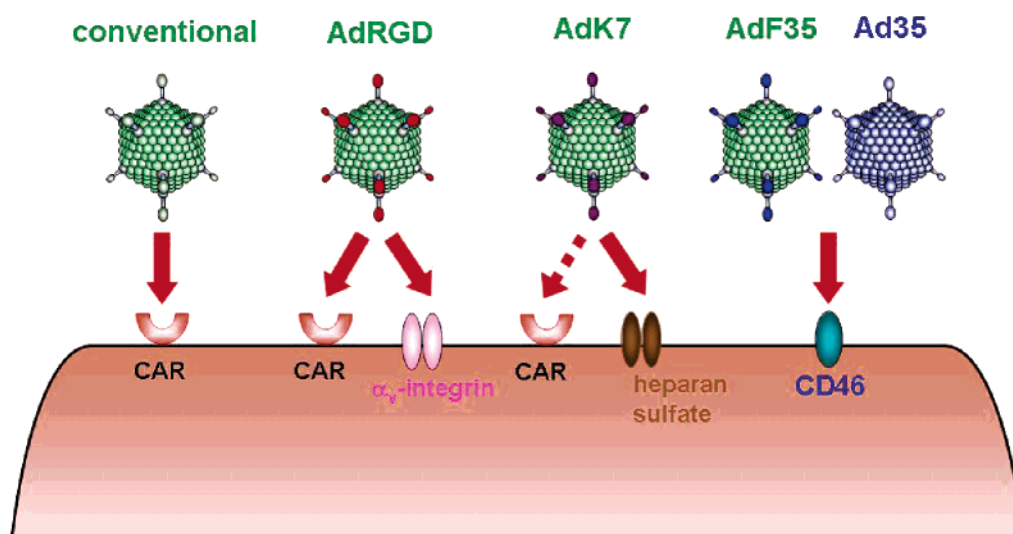


Figure 2. Characteristics of gene delivery by various types of Ad vectors. The conventional Ad vector infects via CAR. The AdRGD vector contains a RGD peptide motif in the HI loop of the fiber knob and infects via α_v integrin as well as CAR. The AdK7 vector contains a polylysine peptide in the C-terminus of the fiber knob and infects via heparan sulfate as well as CAR. It is uncertain whether the AdK7 vector infects via CAR. The Ad35 and AdF35 vectors, which contain a fiber protein derived from the Ad5 fiber tail and the Ad35 fiber knob and shaft, infect via CD46.

attachment of fiber on the surface of the capsid to the CAR on the cell surface (Figure 2). The affinity of the RGD (Arg-Gly-Asp) peptide at the penton base of the Ad5 capsid for the cell surface molecules of the integrin family, such as $\alpha_v\beta_3$, $\alpha_v\beta_1$, and $\alpha_5\beta_1$, aids in the internalization of Ad5 into the cell.^{13–15} Furthermore, heparan sulfate glycosaminoglycans have also been reported to serve as primary attachment sites for Ad2 and Ad5.¹⁶ The abundant expression of these receptors in various cells determines the wide tropism of Ad vectors. Internalized Ad reaches the endosomal pathway and avoids lysosomal degradation (Figure 1B). Inside the endosome, a stepwise disassembly program takes place, allowing the Ad to release its genome into the nucleus.

During this process, the pH of the endosome decreases, leading to the release of the fiber from the virion and the dissociation of the penton base.¹⁷ The resulting endosome rupture allows viral DNA to escape from inside the degraded capsid and to enter the nucleus (Figure 1B). During this process, the terminal protein plays a crucial role in translocating the Ad genome into the nucleus. This uncoating process of the Ad starts immediately after internalization and ends 40 min after infection with the translocation of the Ad into the nucleus. As early as 60 min after infection, the Ad begins to transcribe its genome in the host cell.¹⁸

Although Ad vectors mediate extremely high transduction efficiency, gene transfer with Ad vectors is less efficient in some kinds of cells, such as mesenchymal stem cells (MSCs), hematopoietic stem cells (HSCs), dendritic cells, T cells, smooth muscle cells, skeletal muscle cells, and others because of the scarcity of CAR on their cell surfaces. Modification of the Ad fiber proteins has been used to successfully overcome this obstacle.^{19,20} One is constructed by the addition of foreign peptides to the HI loop or C-terminus of the fiber knob of an Ad vector.^{21–25} Enhanced gene transfer has been

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reported, on the basis of the use of mutant fiber proteins containing either an RGD peptide (AdRGD vector)^{21–26} or a stretch of lysine residues [K7 (KKKKKKK) peptide] (AdK7 vector),^{21,25,26} which target α_v integrins or heparin sulfates on the cell surface, respectively (Figure 2). Altered vector tropism was reported with the substitution of the Ad5 fiber protein with that of Ad belonging to subgroup B, such as Ad types 3, 11, and 35.^{27–31} These fiber-modified Ad vectors infect cells via CD46, CD80, and CD86, which have recently been identified as the cellular receptors of Ad belonging to subgroup B (Figure 2).^{32–36} Mercier et al.

described the creation of a chimeric Ad vector encoding the reovirus attachment protein $\sigma 1$, which targets cells expressing junctional adhesion molecule 1.³⁷

Several groups have developed an Ad vector from the entire Ad type 35 (Ad35) or Ad type 11 (Ad11) and have demonstrated that the Ad35 and Ad11 vectors exhibit higher transduction efficiencies into hematopoietic progenitor and dendritic cells compared with the conventional Ad5 vector (Figure 2).^{38–43} As other approaches to changing the vector tropism, modification of the Ad vector with the antibodies, the fusion protein composed of CAR and the cell binding domain, cationic lipid, or macromolecules has been reported.^{19,20} Here, we highlight the genetic manipulations of stem cells by the Ad vector and fiber-modified Ad vector for basic research and therapeutic usage. Recent advances in Ad vector-mediated gene transfer into stem cells, such as embryonic stem (ES) cells, mesenchymal stem cells (MSCs), and hematopoietic stem cells (HSCs), will be discussed.

Gene Transfer into Stem Cells

Stem cells are defined as cells which possess the abilities of self-renewal and multilineage differentiation. Stem cells have been isolated from a wide variety of tissues, and in general, their differentiation potential may reflect the local environment. They lack tissue-specific characteristics but under the influence of appropriate signals can differentiate into specialized cells with a phenotype distinct from that of their precursor. Gene therapy applications that target stem

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cells offer great potential for the treatment of many kinds of diseases. Despite this promise, clinical success has been limited by poor rates of gene transfer and poor levels of gene expression. Therefore, an efficient gene delivery system needs to be developed for stem cell gene therapy.

Gene Transfer into Embryonic Stem Cells. ES cells are pluripotent cell lines derived from the inner cell mass of the developing blastocyst.^{44–46} With the establishment of human ES (hES) cells, they have been used as a renewable source of transplantable tissue-specific stem cells.^{47–49} ES cells differentiate spontaneously in vitro in a random manner into a mixture of differentiated cells. The protocols for the differentiation of ES cells enriched for a specific lineage have been developed in both the mouse ES (mES)^{50,51} cell and hES cell systems,^{52,53} although the differentiated cells are still relatively heterogeneous. Therefore, further research is needed to allow controlled directed differentiation of ES cells

into pure cultures of committed cells. One of the most powerful techniques for controlled differentiation is genetic manipulation. Electroporation methods,⁵⁴ retroviral vectors,^{55,56} lentiviral vectors,^{57–59} and a supertransfection method based on a replication system using the polyoma replication origin and large T antigen⁶⁰ have been used for exogenous gene expression in ES cells, although lentiviral vectors have been shown to be ineffective at expressing exogenous genes in mES cells, but not in hES cells.^{57,59} In plasmid-based systems such as electroporation and supertransfection methods, stable cell lines are generated by selection using a drug resistance gene. All these methods mediate long-term constitutive gene expression, although a long-term gene expression system such as that as described above may be problematic for use in therapeutic applications, because the gene is continuously expressed even after cell differentiation. There is thus a need for efficient vector systems for transient expression.

The Ad vector has been thought to be inappropriate for gene transfer into ES cells.⁶¹ It has been reported that the retrovirus vector preferentially transduced ES cells, while the Ad vector containing the cytomegalovirus (CMV) promoter preferentially transduced embryonic fibroblasts as feeders in the ES culture.⁶¹ However, it was found that the

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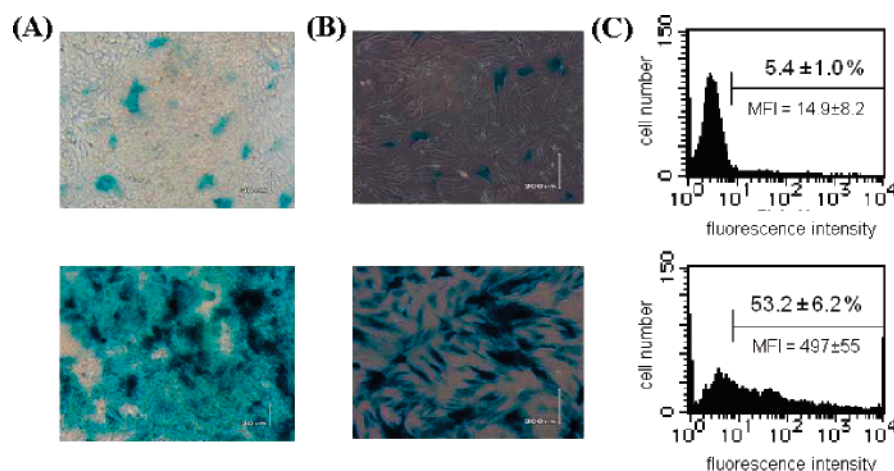


Figure 3. Improved transduction efficiency in the stem cells by the optimized Ad vectors. (A) mES cells were transduced with the LacZ-expressing conventional Ad5 vector containing the CMV promoter (top) or EF-1 α promoter (bottom). (B) hMSCs were transduced with the LacZ-expressing Ad5 vector (top) or AdK7 vector (bottom). Both vectors have the CA promoter. (C) Human CD34⁺ cells were transduced with the GFP-expressing Ad5 vector (top) or Ad35 vector (bottom). Both vectors have the CMV promoter. MFI is the mean fluorescence intensity.

choice of a promoter is important for the efficient expression of exogenous genes in mES cells (Figure 3A). In the transient expression system using a cationic liposome–plasmid complex, the EF-1 α (elongation factor 1 α) and CA promoter (β -actin promoter/CMV enhancer) were shown to be highly active in mES cells while the CMV promoter was inactive.⁶² More recently, we reported that the Ad vector containing the EF-1 α or CA promoter has mediated the efficient expression of the reporter gene in mES cells, whereas the Ad vector containing the Rous sarcoma virus (RSV) or the CMV promoter has exhibited little expression.⁶³ Because CAR was highly expressed in mES cells but not in feeder cells,⁶³ the Ad vector could be a powerful tool for the genetic manipulation of mES cells when an appropriate promoter is used. To date, although we have no idea about the expression of CAR in hES cells, the Ad vector was reported to mediate the reporter gene expression in both mES cells and hES cells,⁶⁴ suggesting that hES cells may also express CAR on their cell surfaces.

As a result of the comparative analysis of mES cells transduced with various types of fiber-modified Ad vectors, the conventional Ad vector exhibited highly efficient and specific transduction, whereas the AdRGD and AdK7 vectors transduced mES cells and feeder cells (embryonic fibroblasts) to the same degree.⁶³ Therefore, the conventional Ad vector

containing the EF-1 α or CA promoter should be appropriate when only ES cells are transduced. In turn, the AdRGD or AdK7 vector is adequate when both ES cells and feeder cells are transduced.

The conventional Ad vector containing the EF-1 α promoter was applied for the transduction of functional genes. It is well-known that the activation of signal transducer and activator of transcription 3 (STAT3) is essential for leukemia inhibitory factor (LIF)-mediated mES cell self-renewal, and the inhibition of LIF/STAT3 signaling leads to either apoptosis or differentiation.⁶⁵ It is also known that transcription factor Nanog maintains the pluripotency of mES cells in a manner that is independent of LIF/STAT3 signaling.^{66,67} Ad vector-mediated STAT3F (STAT3 dominant-negative mutant) transduction strongly promoted mES cells to cell differentiation into three germ layers without any nonspecific toxicity.⁶³ The co-infection of the STAT3F-expressing Ad vector and the Nanog-expressing Ad vector showed that the differentiation suppressing ability of Nanog negated the differentiation promoting function of STAT3F and that mES cells maintained their undifferentiated state.⁶³ Thus, the differentiation of ES cells could be controlled by the transduction of differentiation-key regulator genes with the Ad vector. ES cells might differentiate into hematopoietic progenitor, pancreatic β cells, or neurons by the Ad vector-mediated introduction of HoxB4,^{68,69} Pax4,⁷⁰ or nuclear receptor-related I,⁷¹ respectively.

Gene Transfer into Mesenchymal Stem Cells. MSCs, which reside within the stromal compartment of bone

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marrow, were first identified as bone-forming progenitor cells from rat marrow.⁷² MSCs represent a very small fraction, 0.001–0.01% of the total population of nucleated cells in marrow.⁷³ They have the capacity to differentiate into cells of connective tissue lineages, including bone, fat, cartilage, and muscle. Recently, it has been reported that MSCs can differentiate into other lineages, such as neurons,⁷⁴ hepatocytes,⁷⁵ and insulin-producing cells.⁷⁶ Therefore, MSCs have attracted a great deal of interest because of their potential use in regenerative medicine and tissue engineering. To date, MSCs could be differentiated in vitro into proper lineages via a change in the culture conditions.⁷⁷ Another method for the in vitro differentiation is to genetically modify MSCs.^{78,79} Although exogenous gene transfer into human MSCs (hMSCs) has been reported by using a conventional Ad vector, its transduction efficiency is quite low due to the scarcity of

CAR.^{80,81} Therefore, hMSCs have been transduced with high titers (more than 1000 infectious units/cell) of Ad vectors.^{80,81} Fiber-modified Ad vectors have been applied for hMSCs to improve the transduction efficiency.^{79,82,83} hMSCs infected with the AdRGD vector containing the BMP2 gene produced larger amounts of BMP2 than cells infected with the conventional Ad vector and efficiently differentiated into the osteogenic lineage.^{82,83} Highly efficient transduction of hMSCs was achieved with tropism-modified Ad5 vectors carrying fiber shaft domains and knobs of different serotypes of Ad, such as Ad16, Ad35, or Ad50.⁸⁴ In a systematic comparison with various types of fiber-modified Ad vectors, the AdK7 vector is the most efficient for hMSCs and exhibited a 460-fold higher transduction efficiency than the conventional Ad vector.⁷⁹ The AdRGD vector or the Ad vector containing the Ad35 fiber (AdF35) exhibits a 16 or 130 times higher transduction efficiency, respectively, than the conventional Ad vector.⁷⁹ hMSCs are found to express CD46, which is the primary receptor for Ad35, but not CAR.⁷⁹ In conclusion, the AdK7 or AdF35 vector is the most appropriate for the transduction of hMSCs (Figure 3B).

Gene Transfer into Hematopoietic Stem Cells. Hematopoietic stem cells (HSCs) are capable of self-renewal and multilineage differentiation into all mature blood cells.⁸⁵ HSCs comprise only 0.01% of the whole bone marrow, the tissue in which they primarily reside.⁸⁶ Efficient transduction into HSCs would afford the opportunity to treat a number of hematopoietic disorders and would be a powerful tool for

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the study of the proliferation, differentiation, and trafficking of HSCs. Although the retroviral and lentiviral transduction of HSCs to achieve stable gene expression has been established,^{87,88} stable expression is not always desirable. For example, stable expression of MDR1 gene results in HSC expansion but can cause leukemia upon transplantation to recipient mice.⁸⁹ As the Ad vector mediates the exogenous gene expression transiently, this vehicle can be safe for gene therapy. However, the application of conventional Ad vectors for the transduction into human CD34+ cells, which contain a population of HSCs, has been limited because CAR is not expressed at sufficient levels in human CD34+ cells.^{90,91} It has been shown that Ad serotype 35 (Ad35), which belongs to subgroup B, is efficient at binding to human CD34+ cells and hematopoietic cell lines.^{90,92} We showed that the Ad35 vector, which is composed from the whole Ad35, achieved higher levels of transduction efficiency in human bone marrow CD34+ cells than both conventional Ad5 vectors and AdF35 vectors.^{39,93} The expression level of reporter genes in the CD34+ cells transduced with the Ad35 vector was 12–76 and 1.4–3 times higher than that in the cells transduced with the Ad5 and AdF35 vectors, respectively.³⁹ The transduction efficiency of the Ad35 vector was slightly higher than that of the AdF35 vector, although the reason remains unknown. CD46 is ubiquitously expressed in almost all human cells, including human cord blood CD34+ cells.⁹⁴

Therefore, human CD34+ cells would be considered to be a suitable target for the Ad35 vector (Figure 3C). As a result of the systematic comparison of promoters with Ad35 vectors, significantly higher transduction efficiencies were achieved with the EF-1 α , CA, and CMV promoter/enhancer with the largest intron of CMV (intron A) (CMVi) promoters. In particular, the CA promoter was found to allow for the highest transduction efficiencies in both the whole human CD34+ cells and the immature subsets.⁹³ In mice, a population of mouse bone marrow highly enriched for HSC, called side population (SP) cells, has been reported to be transduced with the conventional Ad5 vector.⁹⁵ This suggests that pure mouse HSCs might express CAR on the cell surface. Further studies are needed to clarify this. The Ad vector-mediated transduction of hematopoietic regulator genes, such as HoxB4,^{68,69} Bmi-1,⁹⁶ or SCL/Tal-1,⁹⁷ into HSCs may be effective for therapeutic use such as HSC expansion, although the Ad vector expressing HoxB4 was unsuccessful because of unexpected HSC differentiation due to its high transduction efficiency.⁹⁸

Conclusions

We have reviewed recent advances in the development of improved Ad vectors for stem cells. Ad vectors have advantages over other viral vectors: the high transduction efficiency, the ease of vector preparation, and the transient expression ability. By the Ad vector-mediated introduction of a differentiation master regulator gene, we could control the differentiation of stem cells. These technical advances should greatly facilitate the analysis of gene function in the stem cells as well as the therapeutic applications of gene-modified stem cells.

Abbreviations Used

ES, embryonic stem; mES, mouse ES; MSCs, mesenchymal stem cells; HSCs, hematopoietic stem cells; Ad, adenovirus; CAR, coxsackievirus and adenovirus receptor; Ad5, Ad serotype 5; ITR, inverted terminal repeats; Ad35, Ad serotype 35; AdRGD vector, Ad vector containing the RGD

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peptide; Ad K7 vector, Ad vector containing a polylysine stretch; hES, human ES; STAT3, signal transducer and activator of transcription 3; LIF, leukemia inhibitory factor; STAT3F, dominant-negative mutant of STAT3; hMSCs,

human MSCs; BMP2, bone morphogenetic protein 2; AdF35, Ad vector containing the Ad35 fiber.

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